

PATENT

Docket No.: UC2000-355-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: J. GARCIA-LUNA-ACEVES; SRINIVAS VUTUKURY
Serial No.: 09/975,556
Filed: OCTOBER 10, 2001
For: METHOD FOR LOOP-FREE MULTIPATH ROUTING USING PREDECESSOR
INFORMATION
Group:
Examiner:

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

SUBMISSION OF MISSING PARTS OF APPLICATION
UNDER 37 C.F.R. § 1.53

Dear Sir:

In response to the Notice to File Missing Parts of Application (PTO-1533) mailed November 14, 2001, a copy of which is enclosed, please find the following documents which are incorporated by reference herein:

1. Combined Declaration and Power of Attorney;
2. Power of Attorney by Assignee and Exclusion of Inventor(s) Under 37 C.F.R. 3.71;
3. Assignee Certification Under 37 C.F.R. 3.73(b);
4. PTO Form 1449;
5. Copies of Citations Listed on PTO Form 1449;
6. Check in the amount of \$963 (\$898 filing fees and \$65 surcharge for the fees due as a small entity);
7. Assignment Cover Letter;
8. Assignment;
9. Check in the amount of \$40 (assignment recording fee).

The amount due for the filing fees and surcharge has been computed as follows:

CLAIMS AS FILED									
Number filed			Number Extra			Rate		Basic Fee \$ 740.00	
Total									
Claims 37 CFR 1.16(c)		60	- 20	=	40	X	\$18.00	=	720.00
Independent									
Claims (37 CFR 1.16(b))		7	- 3	=	4	X	\$84.00	=	336.00
Multiple dependent claim(s), if any (37 CFR 1.16(d))						+	\$270.00	=	

Filing Fee Calculation \$ 1,796.00

Surcharge \$ 130.00

TOTAL FILING FEE \$ 1,926.00

Small Entity Statement(s)

X Applicant qualifies as a small entity under 37 CFR 1.9 and 1.27.

Filing Fee Calculation (50% of above) \$ 963.00

Amount already paid LESS (\$)

TOTAL FILING FEES DUE \$ 963.00

X Assignment recording fee \$ 40.00

 Petition fee \$ 0

TOTAL FEES DUE \$ 1,003.00

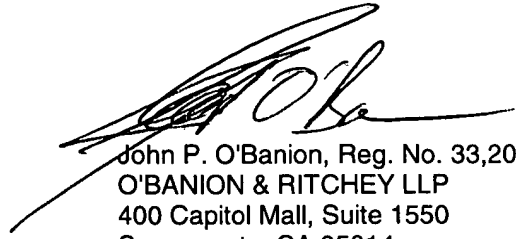
The Notice to File Missing Parts of Non-provisional Application shows USPTO fee computation based upon 41 total claims over 20, which is incorrect. There are a total of 60 claims within this application, 40 claims over 20. The applicant respectfully requests that OPIE re-examine the count of claims.

Please charge any additional fees due to deposit account no. 07-1137.

Having now complied with all of the requirements of 37 C.F.R. 1.53, the Applicants respectfully request that this application be placed upon the files for examination.

Dated: January 11, 2002.

Respectfully submitted,



John P. O'Banion, Reg. No. 33,201
O'BANION & RITCHEY LLP
400 Capitol Mall, Suite 1550
Sacramento, CA 95814
(916) 498-1010

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): J.J. GARCIA-LUNA-ACEVES; SRINIVAS VUTUKURY

Docket No.

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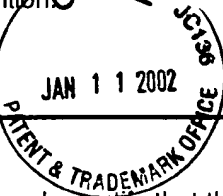
Group Art Unit

2166

Invention

METHOD FOR LOOP-FREE MULTIPATH ROUTING USING PREDECESSOR INFORMATION

JAN 11 2002



I hereby certify that the following correspondence:

Submittal of missing parts (Page 1 thru 3)

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

January 11, 2002

(Date)

JOHN P. O'BANION

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

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Combined declaration and power of attorney (Page 1 thru 5)*(Identify type of correspondence)*

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January 11, 2002*(Date)***JOHN P. O'BANION***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***EV037989061US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/975,556	10/10/2001	J.J. Garcia-Luna-Aceves	UC2000-355-2

CONFIRMATION NO. 7482

FORMALITIES LETTER



OC000000007062585

John P. O'Banion
O'BANION & RITCHEY LLP
Suite 1550
400 Capitol Mall
Sacramento, CA 95814

Date Mailed: 11/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$537.
 - \$369 for 41 total claims over 20.
 - \$168 for 4 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 972.

*A copy of this notice **MUST** be returned with the reply.*

01/18/2002 MBERHE 00000025 09975556

01 FC:201	370.00 00
02 FC:202	65.00 00
03 FC:203	369.00 00
04 FC:204	168.00 00

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **J.J. GARCIA-LUNA-ACEVES; SRINIVAS VUTUKURY**

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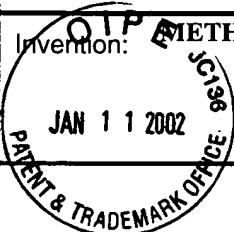
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Group Art Unit

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Invention:

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Notice to file missing parts of nonprovisional application (Page 1)*(Identify type of correspondence)*

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January 11, 2002*(Date)***JOHN P. O'BANION***(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)***EV037989061US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**



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Examiner:

ASSIGNEE CERTIFICATION UNDER 37 C.F.R. 3.73(b)

In accordance with 37 C.F.R. 3.73(b), the assignee hereby certifies that the evidentiary documents with respect to its ownership have been reviewed and that, to the best of the assignee's knowledge and belief, title is in the assignee seeking to take this action.

Dated: December 5, 2001

ASSIGNEE: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Signature: 

Typed Name: Tim W. Wan

Title: Licensing Officer
Office of the President
University of California
1111 Franklin Street, 12th Floor
Oakland, CA 94607-5200

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Assignee Certification (Page 1)

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